



COPY OF PAPERS  
ORIGINALLY FILED

Docket No. 3701-4000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Broeng et al.

Group Art Unit: 2877

Serial No.: 09/282,303

Examiner: P. Natividad

Filed: March 31, 1999

For: A PHOTONIC BAND GAP FIBER

AMENDMENT FEE TRANSMITTAL

Commissioner for Patents  
Washington, DC 20231

Sir:

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MAY 09 2002  
TECH CENTER 2800

Transmitted herewith is an Amendment for the above-identified application.

☒ No additional fee is required.

☐ The additional fee has been calculated as shown below:

CLAIMS AS AMENDED

	Claims Remaining After Amendment	Highest No. Covered by Previous Payments	Extra	Rate	Additional Fee
Total Claims*	135 -	135	0	\$18.00/ \$9.00	\$ 0.00
Independent Claims	11 -	11	0	\$84.00/ \$42.00	\$ 0.00
Multiple Dependent Claims	(If claims added by amendment include Multiple Dependent Claim(s) and there was no Multiple Dependent Claim(s) in application before amendment add \$280.00 to additional fee (\$140.00 for small entity).				\$ 0.00
TOTAL					\$ 0.00

\*Includes all independent and single dependent claims and all claims referred to in multiple dependent claims. See 37 C.F.R. §1.75(c).

☐ Small entity status is or has been claimed.  
Reduced Fees Under 37 C.F.R. §1.9(f) paid herewith \$

- ☐ \_\_\_\_\_ Pages Sequence Listing
- ☐ \_\_\_\_\_ Computer disk(s) containing substitute Sequence Listing
- ☐ Statement under 37 C.F.R. §1.825(b) that the computer and paper copies of the substitute Sequence Listing are the same.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is attached.
- ☐ Charge fee to Deposit Account No. 13-4500, Order No. \_\_\_\_\_. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for filing this amendment, including all fees pursuant to 37 CFR §1.17 for its timely consideration, or credit any overpayment to Deposit Account No. 13-4500, Order No. 3701-4000. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: April 19, 2002

By: \_\_\_\_\_

Brian R. Pollack  
Registration No. 47, 001

Correspondence Address:

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345 Park Avenue  
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Gp/2877 \$✓



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Applicant(s): Broeng et al

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Serial No.: 09/282,303

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For: A Photonic Band Gap Fiber

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**CERTIFICATE OF MAILING (37 C.F.R. §1.8(a))**

Commissioner for Patents  
Washington, DC 20231

Sir: I hereby certify that the attached:

1. Response to Requirement for Restriction
2. Preliminary Amendment
3. Amendment Fee Transmittal
4. Petition for 3 Month Extension of Time
5. Check in the amount of \$460.00
6. Information Disclosure Statement (IDS) & References
7. Form PTO-1449: Information Disclosure Citation
8. Return Postcard

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner for Patents, Washington, DC 20231.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: April 19, 2002

By: \_\_\_\_\_

Brian R. Pollack  
Registration No. 47,001

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